



PTO/SB/21 (6-98)

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/916,135	
	Filing Date	July 25, 2001	
	First Named Inventor	Matsuzaki, et. al.	
	Group Art Unit	TBD	
	Examiner Name	TBD	
Total Number of Pages in This Submission	3	Attorney Docket Number	3414

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Sandra Wells, Reg. No.- 52,349
Signature	<i>Sandra Wells</i>
Date	12/9/02

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 12/9/02			
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**POWER OF ATTORNEY OR
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APPLICATION**

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I am the:

☐ Applicant.

☒ Assignee of record of the entire interest

Certificate under 37 CFR 3.73(b) is enclosed

SIGNATURE of Applicant or Assignee of Record

Name	Barbara A. Caulfield
Signature	<i>Barbara A. Caulfield</i>
Date	12/05/02

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STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Matsuzaki, et. al.

Application No./Patent No.: 09/916,135

Filed/Issue Date: July 25, 2001

Entitled: Complexity Management of Genomic DNA

Affymetrix, Inc., a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of an undivided part interest

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel 012451, Frame 0310, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

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☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

(NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the PTO. See MPEP 302-302.8)

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

12/05/02
Date

Barbara A. Caulfield
Signature

Barbara A. Caulfield

Typed or printed name

Exec. V.P. and General Counsel

Title

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